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Attorney's Docket No.

Applicant or Patentee:
 Serial or Patent No.:
 Filed or Issued:
 For:



VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
 (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Deep Video Imaging Limited

ADDRESS OF CONCERN: Airport Road, Mystery Creek, RD2, Hamilton, New Zealand

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled

A MULTI-LAYER DISPLAY AND METHOD FOR DISPLAYING IMAGES ON SUCH A DISPLAY by inventor(s)

Pita WHITEHIRA and Gabriel Damon ENGEL
 described in

- ☐ the specification filed herewith
☒ Application Serial No. _____, filed 18 August 2000
☐ Patent No. _____, issued _____.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

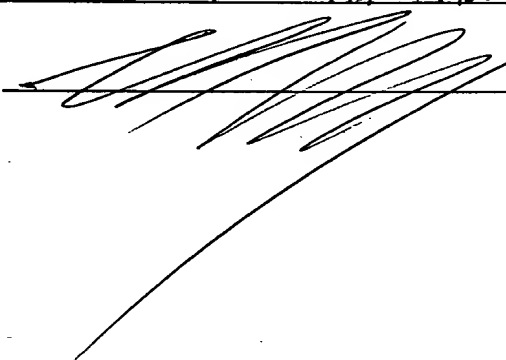
NAME Deep Video Imaging
 ADDRESS Airport Road RD 2 Hamilton New Zealand
☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME _____
 ADDRESS _____
☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Gabriel Engel
TITLE OF PERSON OTHER THAN OWNER General Manager
ADDRESS OF PERSON SIGNING Deep Video Imaging
Airport Road RD2 Hamilton New Zealand

SIGNATURE  DATE Oct 6, 2000

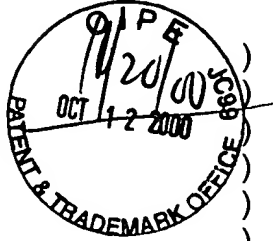
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Pita WITEHIRA et al.

Serial No.: 09/622,535

Filed: August 18, 2000



Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

National Stage of International Application No. PCT/NZ98/00098 under 35 U.S.C. 371, for **A MULTI-LAYER DISPLAY AND A METHOD FOR DISPLAYING ON SUCH A DISPLAY**

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

FILING OF SMALL ENTITY DECLARATION UNDER 37 C.F.R. 1.27(c)

Assignee is a small business as defined in 37 C.F.R. 1.9 (d) and, by virtue of 37 C.F.R. 1.9 (f), is a small entity qualified for paying reduced fees under 35 U.S.C. 41 (a) and (b).

In accordance with 37 C.F.R. 1.27 (a) and (c), applicants file herewith a Verified Statement. It is requested that the Verified Statement be entered in the above referenced application.

It is requested that all fees charged in the future in this application be reduced fees appropriate for small entity status.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By:

David W. Hill
Reg. No. 28,220

Dated: October 12, 2000
Enclosures
DWH/FPD/sci

ERNEST F. CHAPMAN
Reg. No. 25,961

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